

EXHIBIT C

IBROKER CLIENT ACCOUNT

MASTER ACCOUNTS

1764640 Sun Hung Kai Investment Services Limited Hong Kong Special Administrative Region of China IBroker (Non-disclosed Introducing Broker) 2015-09-21

CUSTOMER SUMMARY FOR IAT HONG

1764640

Set/Unset Employer

Good-faith Review:

ACCOUNT SUMMARY FOR 9328

9328

Clearing Status

Change Priority

TMS tickets TMS sticky notes Assign to ASG Account Diagnostic

PENDING/REJECTED INVOICES

No pending/rejected invoices

ADVISOR INVOICES (LAST 2 MONTHS)

No Invoices for the last 2 months

COMMISSION SCHEDULE

EXCHANGE	CURRENCY / UNIT	TYPE	MINIMUM	MAXIMUM	MAX UNIT	MAX MARKUP AMOUNT	VALUE	PASS THROUGH FEES	MIN AS TICKET CHARGE
STK									
USD stocks	USD Stocks	Absolute Amount	15.0			0.075	0.03 <= 2000 0.025 <= 5000 0.02 OTHERWISE	no	no

Show History

INTEREST MARKUPS

CURRENCY	UNIT	DEBIT MARKUP	CREDIT MARKUP SHORT CREDIT MARKUP	SHORT CFD CREDIT SHORT INDEX CFD CREDIT	LONG CFD DEBIT LONG INDEX CFD DEBIT	BORROW FIXED RATE MARKUP	BORROW PIB RATE MARKUP
AUD	%	-	- / -	- / -	- / -	null	null
CAD	%	-	- / -	- / -	- / -	null	null
CHF	%	-	- / -	- / -	- / -	null	null
EUR	%	-	- / -	- / -	- / -	null	null
GBP	%	-	- / -	- / -	- / -	null	null
HKD	%	-	- / -	- / -	- / -	null	null
JPY	%	-	- / -	- / -	- / -	null	null
USD	%	-	- / -	- / -	- / -	null	null

CURRENT FEES

EXCHANGE	CURRENCY / UNIT	TYPE	MINIMUM	MAXIMUM	MAX UNIT	MAX MARKUP AMOUNT	VALUE	PASS THROUGH FEES	MIN AS TICKET CHARGE
STK									
USD stocks	USD Stocks	Absolute Amount	15.0			0.075	0.03 <= 2000 0.025 <= 5000 0.02 OTHERWISE	no	no

FA WRAP FEE/ACATS REQUESTS

No requests

No requests

REGISTRATION STATUS

MASTER ACCOUNTS

1764640 Sun Hung Kai Investment Services Limited Hong Kong Special Administrative Region of China IBroker (Non-disclosed Introducing Broker) 2015-09-21

CUSTOMER SUMMARY FOR IAT HONG

1764640

Set/Unset Employer

Good-faith Review:	
CAFFI - Risk Ranking 2	
RELATIONSHIP TO CURRENT ML RISK COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	

ACCOUNT SUMMARY FOR 9328

9328

Clearing Status

Change Priority

TMS tickets

TMS sticky notes

Assign to ASG

Account Diagnostic

Registration Process Dynamic Registration Tasks Approval Requirements Customer Tasks

Summary of Steps			
Online steps Completed	Paper PDF Forms Not required	Funding Instruction Not required	407 Letter Not required
ID Documents Received	Equifax Not required	OFAC Status Completed WordCheck Status Completed	Other compliance checks Not required

Application	
Application Type: FULL	Process Type: CUST_ENTRY - CUSTOMER
Current Sequence: APPLICATION	Multi Account Eligible: No
Status: APP_STATUS	Multi Master Account:
Is mobile application: No	

View All Registration Steps

Application Steps
APPLICATION
APP_STATUS

ONLINE STEPS REQUIRED TO OPEN OR UPGRADE ACCOUNT

Sort Steps By Name

Account	Registration Step	Status/ Date Completed	Type
All required online steps completed Hide all			
9328	Margin and Products	24 Sep 2015 23:02:19 EDT	to complete
9328	W-8Ben	25 Sep 2015 03:01:39 EDT	to complete
Current Status: W-0			

OTHER ONLINE STEPS (NOT REQUIRED TO OPEN OR UPGRADE ACCOUNT)

No other registration steps required for this Account.

DOCUMENT COPY OF ONLINE FORMS

Account	Registration Step	Status/ Date Completed	Type
All documents received Hide all			
9328	W-8Ben	24 Sep 2015 05:32:17 EDT	to send

All documents received Hide all			
9328	W-8Ben	24 Sep 2015 05:32:17 EDT	to send
Current Status: W-0			

DOCUMENT SUBMISSION STEPS

Document required	Document received	Processing notes	Account id
All required documents sent Hide all			
Proof of Identity and date of birth for Mr. IAT HONG (Account Holder)	Received National ID via E-mail on 21 Sep 2015 04:00:40 EDT	Tentatively Accepted (ikeaw) on 24 Sep 2015 05:32:17 EDT	9328
Proof of address for Mr. IAT HONG (Account Holder)	Received Government Issued Letters via E-mail on 21 Sep 2015 04:00:40 EDT	Tentatively Accepted (ikeaw) on 24 Sep 2015 05:32:17 EDT	9328

407 LETTER/EMAIL FROM EMPLOYER REQUIRED DUE TO BROKER-DEALER AFFILIATION

No Employer Email (407 letter) required for this Account.

EQUIFAX ID/ADDRESS VERIFICATION REPORTS

No Equifax/CDC verification reports for this Account.

OFAC, SANCTIONED AND CONTROL LIST/PROHIBITED CUSTOMER LIST SEARCHES

Name	Matches
Search (# 115965809) for Mr. IAT HONG (Account Holder)	
IAT HONG	No Matches found.
Complete - no match found hide	
Processing time: 03 Oct 2015 02:08:43 EDT	

WORLDCheck TASKS

Name	Matches
WorldCheck Search (# 115965810) for Mr. IAT HONG (Account Holder)	
IAT HONG	No Matches found.
Complete - no match found hide	
Processing time: 21 Sep 2015 04:04:08 EDT	

COMPLIANCE REVIEWS

No compliance reviews required for this Account.

HFCIP COMPLIANCE REVIEWS

No compliance reviews required for this Account.

9328

Form **W-8BEN**

(Rev. February 2014)

Department of the Treasury
Internal Revenue Service**Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)**

► For use by individuals. Entities must use Form W-8BEN-E.

► Information about Form W-8BEN and its separate instructions is at www.irs.gov/formw8ben.
► Give this form to the withholding agent or payor. Do not send to the IRS.

OMB No. 1545-1621

Do NOT use this form if:

- You are NOT an individual **W-8BEN-E**
- You are a U.S. citizen or other U.S. person, including a resident alien individual **W-9**
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) **W-8ECI**
- You are a beneficial owner who is receiving compensation for personal services performed in the United States **8233 or W-4**
- A person acting as an intermediary **W-8IMY**

Instead, use Form:**Part I Identification of Beneficial Owner (see instructions)**

1 Name of individual who is the beneficial owner <u>HONG IAT</u>	2 Country of citizenship <u>MACAU</u>
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. <u>ESTRADA DE SETE TANQUES JARDINS DE LISBOA (EN VISTA LINDA) 12-ANDAR-C TAPA MACAU</u>	
City or town, state or province. Include postal code where appropriate. <u>MACAU</u>	Country <u>MACAU</u>
4 Mailing address (if different from above) City or town, state or province. Include postal code where appropriate.	
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)	6 Foreign tax identifying number (see instructions)
7 Reference number(s) (see instructions) <u>3226 4618 2076</u>	8 Date of birth (MM-DD-YYYY) (see instructions) <u>1990</u>

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

- 9 I certify that the beneficial owner is a resident of MACAU within the meaning of the income tax treaty between the United States and that country.
- 10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____
- Explain the reasons the beneficial owner meets the terms of the treaty article: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,
 - The person named on line 1 of this form is not a U.S. person,
 - The income to which this form relates is:
 - (a) not effectively connected with the conduct of a trade or business in the United States,
 - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
 - (c) the partner's share of a partnership's effectively connected income,
 - The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
 - For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.
- Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

Sign Here

Signature of beneficial owner (or individual authorized to sign for beneficial owner)

Date (MM-DD-YYYY)

Print name of signer

Capacity in which acting (if form is not signed by beneficial owner)



1990	1,70
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25-01-2023 04-02-2003
25-01-2013



CSM

澳門特別行政區
REGIÃO ADMINISTRATIVA ESPECIAL DE MACAU
永久居民身份證
BICHETE DE IDENTIDADE DE RESIDENTE PERMANENTE



Se encargar este B.R. remita para DSI c.p. 1089 Macau

[illegible]

9328

3220 Cash rental

4618 Margin

2076 Comm

LB margin

新鴻基投資服務有限公司-澳門分行 Sun Hung Kai Investment Services Limited - Macau Branch	
I hereby certify that this is a true copy of the original document which I have seen and that I have met the individual and can confirm that photograph is a true likeness.	
Name of Certifier	MAE HUI HOE
Job Title	SIC
Date	15/7/2015
Signature	MAE

澳門特別行政區政府
Governo da Região
Administrativa Especial de Macau

2015年度現金分享計劃
Plano de Participação Pecuniária no
Desenvolvimento Económico do Ano 2015



姓名 熊日
Nome HONG IAT

地址 澳門氹仔七潭公路葡京花園(美景)12-C
Endereço

ESTRADA DE SETE TANQUES
JARDINS DE LISBOA (ED. VISTA LINDA)
12-ANDAR- C TAIPA
MACAU



注意事項

- 一、本支票為劃線支票，不可即時兌換現金，請將支票存入抬頭人的銀行帳戶。
- 二、受益人年齡未滿十八歲，支票抬頭將載明其本人及其父母姓名，支票可存入任一抬頭人的銀行帳戶。
- 三、如有任何查詢，請親臨設於民政總署綜合服務中心之現金分享發放輔助中心（地址：澳門南灣大馬路804號中華廣場二樓，辦公時間：星期一至五上午九時至下午六時，公眾假期休息），致電 (853) 2822 5000，傳真至 (853) 2822 3000 或瀏覽現金分享計劃網頁 www.planocp.gov.mo。
- 四、如需更新通訊地址，請親臨身份證明局（地址：澳門南灣大馬路804號中華廣場，辦公時間：星期一至五上午九時至下午六時），或透過身份證明局網頁 www.dsi.gov.mo 更改資料。

Observações

1. O presente cheque é cruzado e não pode ser levantado em numerário, devendo ser depositado na conta bancária do titular.
2. Ao beneficiário com idade inferior a 18 anos, o cheque é passado à ordem do próprio beneficiário e dos seus pais, podendo ser depositado na conta bancária de qualquer um deles.
3. Para mais informações, é favor deslocar-se ao Centro de Apoio ao Pagamento da Participação Pecuniária, instalado no Centro de Serviços do Instituto para os Assuntos Cívicos e Municipais (sito na Avenida da Praia Grande, n.º 804, Edifício "China Plaza", 2.º andar, horário de atendimento: 2.ª a 6.ª feira (excepto aos feriados), das 09:00 às 18:00), telefonar para (853) 2822 5000, mandar fax para (853) 2822 3000 ou visitar o website do Plano de Participação Pecuniária no Desenvolvimento Económico www.planocp.gov.mo.
4. Para actualização do endereço de correspondência, é favor deslocar-se à Direcção dos Serviços de Identificação (sito na Avenida da Praia Grande, n.º 804, Edifício "China Plaza", horário de atendimento: 2.ª a 6.ª feira, das 09:00 às 18:00), ou alterar os respectivos dados através do site da internet www.dsi.gov.mo.

2015年度現金分享計劃支票

Cheque do Plano de Participação Pecuniária no
Desenvolvimento Económico do Ano 2015

支票號碼
Cheque N.º 15-309355-3

MOP 9,000.00

澳門 Macau

日期 Data 2015/08/26



澳門特別行政區政府
Governo da Região
Administrativa Especial de Macau

憑此支票折付
Pague por este Cheque a

熊日
HONG IAT

澳門幣玖仟圓正
NOVE MIL PATACAS

中國銀行戶口號碼
BOC Conta N.º

01-01-20-813088
財政局
D. S. F.

新鴻基投資服務有限公司-澳門分行
Sun Hung Kai Investment Services Limited - Macau Branch

I hereby certify that this is a true copy of the original document which I have seen and that I have met the individual and can confirm that photograph is a true likeness.

Name of Certifier MAE HEI NING
Job Title SIC
Date 15/9/2015
Signature [Signature]

簽名 Assinatura